



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/814,390

03/30/2004

Peter E. Hand

359999.35

5402

7590

11/17/2009

David B. Abel
DLA Piper Rudnick Gray Cary US LLP
Fourth Floor
1999 Avenue of the Stars
Los Angeles, CA 90067

EXAMINER

SHAPIRO, JEFFERY A

ART UNIT

PAPER NUMBER

3653

MAIL DATE

DELIVERY MODE

11/17/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/814,390	Applicant(s) HAND ET AL.	
	Examiner JEFFREY A. SHAPIRO	Art Unit 3653	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 September 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-5 and 9-20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-5 and 9-20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-5 and 8-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jones et al in view of Ramachandran, further in view of Partyka et al (US 5,941,363), further in view of Billington (US 6,390,269 B1), further in view of Morun (US 5,566,807), further in view of Deaville et al (US 5,791,449) and still further in view of Katou et al (US 2004/0182677 A1).

As described in Claims 1 and 18, Jones discloses an automated teller machine (ATM) as shown in figures 1b-d, that has a processor-based controller and coin receipt and return functions. Note that Jones' ATM vends bills and coins to customers. See Jones, figure 1a and col. 6, lines 22-46, which indicates that a customer's deposit may be returned in either coins, bills or both, and that controller (10) causes the dispensing unit (22) to dispense funds to a user. Figure 1e, for example, illustrates dispenser (22), communications panel (26), image scanner (12), input receptacle (16), transport mechanism (18), and output receptacles (20a and b), all controlled by processor-based controller (10). Controller (10) also directs information from scanner (12), discriminator (14) to interface (24) which communicates further with remote accounting systems. Jones also discloses a front-end processor (6038) in figures 1u and 1v. See col. 11,

Art Unit: 3653

lines 35-64. Jones at col. 16, line 56-col. 17, line 30 discloses scanning a bill for various image features and comparing them with stored information. See also Jones at col. 20, line 26-col. 22, line 15, noting EPROM (934), illustrated in figure 4a, and CPU 930. See also Jones at col. 22, lines 30-56 and col. 26, lines 30-56. Jones at col. 27 line 56-col. 28 line 67 and col. 29 line 33-col. 30, line 26 describes microprocessor (212) storing obtained optical image and magnetic data from bills and comparing them with stored patterns stored for example in read only memory (232). Jones also discloses an escrow holding area in col. 77, lines 51-54.

As described in Claims 9-17, Jones further discloses a display (2402) in the form of a touch screen with various currency denominations the machine control will allow to be processed displayed as keys (2406a-g). Note that said display is supported in a bezel assembly. Note that disposing the display either on or adjoining the runway surface is considered to be obvious variations of each other that one ordinarily skilled in the art would have found obvious to use in Jones apparatus. Jones discloses a display (2304) in a bezel with denomination keys (2306a-g) located on a lower area of the bezel that can be construed as a runway area in figures (49a and b) and discussed in col. 65, line 52-col. 66, line 23. See also figure 50a or 57b as well as col. 66, lines 23-45, col. 72, line 60-col. 73, line 37, and col. 80, lines 49-59.

Jones does not expressly disclose, but Ramachandran discloses placing an ATM type device in a vending machine. See Ramachandran col. 2, line 45-col. 3, line 32 and col. 8, lines 23-42.

At the time of the invention, it would have been obvious to one of ordinary skill in the art to have embodied Jones' ATM in a combination ATM/vending machine for the purpose of dispensing snacks and goods as well as handles bank transactions.

The suggestion/motivation to do so would have been increase the range of services available to customers, thereby acting as a further draw to the vending machine, and therefore increasing profits, as suggested by Ramachandran's teaching and disclosure. See Ramachandran, col. 2, line 60-col. 3, line 10. Also, one ordinarily skilled in the art would have recognized the benefit of combining an ATM and vending machine because customers obtaining money at the ATM may be more willing to make impulsive purchases, thereby resulting in increased sales of vended goods as compared to a typical free-standing vending machine.

Further, regarding the use of a vending machine controller (VMC), Applicant's "Background to the Invention" section at paragraph 5, line 1-3 mentions that "vending machines are in wide use..." Paragraph 6 of the same section mentions that MDB/ICP communication protocol allows a bill validator to communicate with a vending machine controller (VMC). Since Ramachandran provides the teaching to retrofit an ATM bill validator in a vending machine, it would therefore have been obvious for one ordinarily skilled in the art to have used such an MDB/ICP protocol to allow the various controllers and components of the vending system, including the bill and coin validators to communicate with the main controller.

Jones does not expressly disclose, but Partyka discloses that the note validator (14) controls a coin changer (12).

At the time of the invention, it would have been obvious to one of ordinary skill in the art to have controlled Jones's coin changer by input from Jones' note validator, and the note validator in turn by the VMC.

The suggestion/motivation would have been to "provide payout of coin change in response to the receiving of a proper bill." See Partyka, col. 2, lines 45-52.

Regarding the newly added claim language concerning "payment in a vending transaction", Jones does not expressly disclose, but Morun discloses that the note validator (340) and bill escrow and payout unit (115) and coin changer are operated to provide change from inserted bills or coins after a vending transaction has been processed. See figure 5, col. 1, lines 5-10, col. 3, lines 45-57, col. 4, lines 14-53, col. 4, lines 63-col. 5, lines 1-12, col. 5, lines 1-17 and col. 6, lines 17-35.

At the time of the invention, it would have been obvious to one of ordinary skill in the art to have controlled Jones' coin and bill changers by input from Jones' note validator, and the note validator in turn by the VMC, as taught by Morun, for the predictable purpose of providing change in coins or bills, as required by the particular transaction.

Jones does not expressly disclose, but Deaville discloses placing a coin acceptor/changer (50) and a bill acceptor/dispenser (60) disposed in the opening formerly receiving the bill validation device. Note that Deaville's coin acceptor and bill acceptor fits into the industry standard bill validator opening in the front panel of the vending machine. See Deaville, abstract, col. 1, line 50-col. 2, line 9 and figures 3a and 4a.

Regarding Claims 1 and 18, Jones does not expressly disclose, but Billington discloses a program calculating change to be dispensed by a vending machine and outputting a signal indicative of the change to be dispensed with the signal rerouted through a unit controller, i.e., interface of the note-acceptor-dispenser. Note that Billington discloses the MDB standard at col. 1, lines 18-29. Figure 1 of Billington illustrates the changer (110) with bill validator (100) and coin dispenser (105) connected thereto. Figure 2 illustrates the unit controller (400) with interface adapter (430). See also col. 1, lines 17-29, col. 2, lines 53-67, col. 3, lines 45-57, col. 5, line 15-col. 6, line 35, col. 8, lines 5-25 and ones 62-67, col. 9, lines 1-9.

At the time of the invention, it would have been obvious to one of ordinary skill in the art to have added the interface of Billington with change calculation program to Jones' device for the purpose of controlling several different currency dispensing devices so as to provide change in a vending transaction system.

Regarding Claims 2-4, Jones discloses that counterfeit detector (210) is controlled directly by microprocessor (212), which is considered analogous to Applicants' "unit controller", and has the "capability to maintain a running total of genuine documents" at col. 29, lines 15-20. Note Jones' ram (226) and rom (232) memory in figure 12. See also col. 30, lines 19-26 of Jones, which discusses programming microprocessor (212).

Regarding Claim 5, note again that Jones discloses displaying information on display (2402), for example. It would have been obvious to display such information as

Art Unit: 3653

the number of notes dispensed and the number of coins dispensed, or any accounting or other information that one ordinarily skilled in the art would have found necessary to manage and operate Jones' currency handlers.

Jones does not expressly disclose, but Katou discloses a vending machine in the form of automated teller machine (101), having a note acceptor-dispenser (1), a bill discriminator (30), a note box (60), a note hopper (40) that temporarily stores said notes, and a transportation unit (501, 502, 503, 504) in a combination such that said notes are transported to either a note box, a temporary storage or escrow box, or through the bill discriminator. See figures 6-13, 23-26, 30a-30c and 31. Also note the direction arrows of figures 6-13, 23-26, 30a-30c and 31.

At the time of the invention, it would have been obvious to one of ordinary skill in the art to have added the transport mechanism disclosed and taught by Katou illustrated in Katou's figure 6, for example, to the transport mechanism of Jones such that said notes are transported to either a note box, a temporary storage or escrow box, or through the bill discriminator as well as from input to output receptacles.

The suggestion/motivation for adding Katou's transport mechanism to Jones' would have been to prevent jamming of notes. See Katou, paragraphs 10 and 18. Further, it would have been obvious to use closed note boxes or cassettes or magazines to receive notes rather than open receptacles as Jones discloses so as to promote automation of the note handling process.

3. Claims 19 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jones et al in view of Ramachandran, further in view of Partyka, further in view of

Billington (US 6,390,269 B1), further in view of Morun, further in view of Deaville et al (US 5,791,449), further in view of Katou et al (US 2004/0182677 A1) and still further in view of Pope (US 2002/0195309).

Jones, Ramachandran, Partyka, Morun, Deaville and Katou disclose the system described above.

Regarding Claims 19 and 20, Jones does not expressly disclose, but Pope discloses the particulars of retrofitting a validator that accepts currency at a second value which is higher than the value of a maximum first denomination value accepted/handled by the vending machine controller (VMC). See Pope at paragraphs 1-10.

At the time of the invention, it would have been obvious to one of ordinary skill in the art to have added the transport mechanism disclosed and taught by Katou illustrated in Katou's figure 6, for example, to the transport mechanism of Jones such that said notes are transported to either a note box, a temporary storage or escrow box, or through the bill discriminator as well as from input to output receptacles.

The suggestion/motivation for adding Katou's transport mechanism to Jones' would have been to prevent jamming of notes. See Katou, paragraphs 10 and 18. Further, it would have been obvious to use closed note boxes or cassettes or magazines to receive notes rather than open receptacles as Jones discloses so as to promote automation of the note handling process.

Regarding Claims 19 and 20, which require the "vending machine protocol program to accept notes only up to a first value...and a processor controlling the

acceptance and recognition of notes up to a second value exceeding said first value”, note that it would have been obvious to upgrade a vending machine, which accepts coins or bills up to a one value, and increase the capability of the vending machine to accept bills of a higher value by installing a bill validator having a processor controller that allows bills of a second, higher maximum value, since prices of items can be expected to rise over time, thus requiring larger denominations to be transacted during a vend.

Response to Arguments

4. Applicant's arguments with respect to Claims 1-5, and 9-20 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

5. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to JEFFREY A. SHAPIRO whose telephone number is (571)272-6943. The examiner can normally be reached on Monday-Friday, 9:00 AM-5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick H. Mackey can be reached on (571)272-6916. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Jeffrey A. Shapiro/
Primary Examiner, Art Unit 3653

November 16, 2009